



01-30-06

1Fw 3624

PATENT  
Attorney Docket No. 74622-015**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	Thomas C. Naratil	Examiner:	Hamilton, Lalita M
Serial No.:	09/688,392	Group Art Unit:	3624
Filed:	October 16, 2000		
Title:	SYSTEM AND METHOD FOR TRADING TAXABLE AND NON-TAXABLE SECURITIES		

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NON-FINAL OFFICE ACTION**

Sir:

This is in response to the non-final Office Action dated January 19, 2006, a copy of which is submitted herewith, in the above-identified application. The shortened statutory period for response to the Office Action expires on February 20, 2006. This response is therefore timely submitted under the shorten statutory period of one month or thirty days from the mailing date of the Office Action, whichever is longer.

The Office Action states at page 2 that the timely submission filed on November 10, 2005, is not fully responsive to the prior Office action because the amendment that has been scanned in is blurred and cannot be read accurately by the Examiner. The applicant submits a complete copy of the response and amendment filed November 10, 2005. The applicant also submits the facsimile receipt dated November 10, 2005.

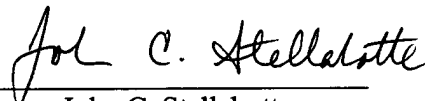
Applicant also notes that an interview was conducted with the Examiner by telephone on December 14, 2005. On December 15, 2005, Applicant filed a Summary of Interview in which the Applicant thanked the Examiner for the interview, during which the

non-final Office Action, dated June 10, 2005, the references cited therein, and Applicant's response to that Office Action, dated November 10, 2005, were discussed. The Summary of Interview noted that the Examiner indicated that it appeared that Applicant's response overcame the rejections in the June 10, 2005 Office Action and that the Examiner would update her search.

The Commissioner was previously authorized to deduct the extension of time fee and any other necessary LARGE ENTITY fees. Thus, no fee is due at this time. However, the Commissioner is authorized to charge any fee due, or credit any overcharge, to Deposit Account No. 16-2500 to maintain the pendency of the present application.

Respectfully submitted,

PROSKAUER ROSE LLP  
Attorneys for Applicant

By   
John C. Stellabotte  
Reg. No. 47,969

Date: January 25, 2006

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/688,392	10/16/2000	Thomas C. Naratil	74622-015	2870

21890 7590 01/19/2006

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1585 BROADWAY  
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EXAMINER

HAMILTON, LALITA M

ART UNIT PAPER NUMBER

3624

DATE MAILED: 01/19/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

## **DETAILED ACTION**

### **Non-Responsive Amendment**

The timely submission under 37 CFR 1.129(a) filed on November 10, 2005 is not fully responsive to the prior Office action because the amendment that has been scanned in is blurred and cannot be read accurately by the Examiner. The Examiner respectfully ask that the Applicant resubmit another copy of the amendment, so that it may properly be scanned in. Since the submission appears to be a *bona fide* attempt to provide a complete reply to the prior Office action, applicant is given a shortened statutory period of ONE MONTH or THIRTY DAYS from the mailing date of this letter, whichever is longer, to submit a complete reply. This shortened statutory period supersedes the time period set in the prior Office action. This time period may be extended pursuant to 37 CFR 1.136(a).

### **Conclusion**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lalita M. Hamilton whose telephone number is (571) 272-6743. The examiner can normally be reached on Tuesday-Thursday (8:30-4:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vincent Millin can be reached on (571) 272-6747. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3624

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



LMH



\*\* JOB STATUS REPORT \*\*

AS OF NOV 10 2005 13:53

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DATE	TIME	TO/FROM	MODE	MIN/SEC	PGS	STATUS
001 11/10	13:46	622015*7*915712738300	G3---S	05' 55"	012	OK

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Date November 10, 2005 Client-Matter 74622-015

**Fax Transmittal**

Total Pages (Including Cover) 12

From John C. Stellabotte

Sender's Voice Number 212.969.3413

Sender's Room Number 17-44

Sender's Email Address jstellabotte@proskauer.com

Main Fax Number 212.969.2900

To: Examiner: Lalita M. Hamilton

Fax No.: (571)-273-8300

Company: United States Patent and Trademark Office - Group Art Unit 3624 Voice No.: (571)272-6743

### Message

Re: Inventor : Thomas C. Naratil  
Serial No. : 09/688,392  
Filing Date : October 16, 2000  
Title : SYSTEM AND METHOD FOR TRADING TAXABLE AND  
NON-TAXABLE SECURITIES  
Att'y Docket : 74622-015

Attached please find the following:

- 1) RESPONSE UNDER 37 C.F.R. §1.111 AND PETITION FOR TWO-MONTH EXTENSION OF TIME

Please contact John C. Stellabotte at (212) 969-3413 if you have any questions.

### FACSIMILE TRANSMISSION CERTIFICATE

I hereby certify that these papers are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Juan C. Arias

Name of person signing the certification

Juan C. Arias

November 10, 2005

Signature

Date

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